JET PROPULSION LABORATORY CALIFORNIA INSTITUTE OF TECHNOLOGY

INTEROFFICE MEMORANDUM
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To: Modelers and Data Analysts
From: James G. Williams and Dale H. Boggs
Subject: The JPL Lunar Laser Range Model 2020

## 1. Introduction

The lunar laser ranging (LLR) experiment measures the round-trip time of flight of a laser pulse fired from an observatory on the Earth, reflected from a corner-cube retroreflector array on the Moon, and received back at the observatory. We now fit 50 yr of observations stretching from 1970 to fall 2020. During those decades, the accuracy of the range measurements has improved from decimeters to millimeters. An accurate model is needed to analyze those ranges. Figure 1 shows the annual weighted rms post-fit residuals for a fit spanning 1970-2020. Figure 2 shows the annual weighted rms residuals for 1987 - March 2020. The rms residuals for 2015-2020 are 1.0 to 1.1 cm .


Figure 1. Annual weighted rms residuals for DE440, 1970 - March 2020.

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Figure 2. Annual weighted RMS residuals from 1987 - March 2020.
A worldwide network of satellite laser ranging (SLR) stations and LLR stations is coordinated by the International Laser Ranging Service (ILRS) [Pearlman et al., 2002], but only a few laser sites can range the distant Moon. To set the scale, the semimajor axis of the lunar orbit is $384,399 \mathrm{~km}$, the mean radius of the Earth is 6371 km , and the mean radius of the Moon is 1737 km . Moving at a speed of $299,792.458 \mathrm{~km} / \mathrm{sec}$, a laser pulse takes $\sim 2.5 \mathrm{sec}$ to make the round trip. Reviews of the LLR technique are available from Dickey et al. [1994], Murphy [2013], and Müller et al. [2019].

This memo describes the model used in 2020 by the program LPRED to calculate an LLR time of flight and compare with an observed value to get a residual. It updates a previous memo (Williams and Boggs, 2015). This memo is more detailed than an outline, but it is still an overview. Many details are lacking and some familiarity with astronomical and geophysical processes and nomenclature is assumed. We note that the design and construction of an analysis program is a compromise between accuracy and pragmatism.

For the terrestrial model, the IERS Conventions (2010) [Petit and Luzum, 2010] is an indispensible companion to this memo. We make frequent reference to sections of that document. The models for spacecraft navigation are another invaluable resource [Moyer, 2000]. Here we do not describe the numerical integration programs for calculating solar system orbits and lunar rotation. There are two integration programs at JPL that embody very similar physical models. These dynamical models are described by Park et al. [2020]. Since 2014 we have added geodetic precession to the integrated physical libration
model, solar Lense-Thirring effect to the integrated orbits, a $t^{2}$ term to the Earth's $J_{2}$ that perturbs the lunar orbit, and solar radiation pressure affecting the lunar orbit.

## 2. LLR Range Data

The transmit time at the ranging station is denoted T 1 , the time of the reflection is T 2 , and the receive time is T3. The round-trip light time $\Delta t=\mathrm{T} 3-\mathrm{T} 1$ is about 2.5 sec , but it varies $\pm 7 \%$ from about 2.3 to 2.7 sec . The observed round-trip light time $\Delta t$ gives the one-way "range" $\rho$ when multiplied by half the speed of light $c, \rho \approx c \Delta t / 2$, or 15 $\mathrm{cm} /$ nanosec. Since the Moon moves $\sim 2.5 \mathrm{~km}$ and the Earth rotates $\sim 1 \mathrm{~km}$ while the laser pulse is in flight, the foregoing one-way range is a convenient approximation rather than a geometric range at a single time.

Multiple detections of one or more photons are combined into less frequent normal points. Each normal point may combine several minutes to several tens of minutes of photon returns. An LLR observation includes the transmit date and time in UTC
(Coordinated Universal Time), receive minus transmit time in UTC seconds (round-trip light time), station and retroreflector array IDs, number of photons, a range uncertainty, and the laser wavelength. Environmental parameters include atmospheric pressure, temperature, and relative humidity at the station. The normal point may also have its time span, the signal-to-noise ratio, and an indicator for the quality of the range identification.

The annual number of normal points is shown in Fig. 3 for three transmitted laser colors.


Figure 3. The annual number of normal points in DE440 for 3 transmitted laser colors.

The lunar laser ranging stations at McDonald Observatory, Texas; Observatoire de la Côte d'Azur, France; Haleakala Observatory, Hawaii; Apache Point Observatory in New Mexico; and Matera, Italy provided the extended data sets that are analyzed by the LLR programs. Shorter data sets are available from Crimea in 1974 and the 1980s, then in the Soviet Union, and Wettzell, Germany, 2018-2020. The number of ranges and their time spans are given in Table 1. Collections of LLR data are available from the International Laser Ranging Service archives at ftp://cddis.gsfc.nasa.gov/pub/slr/data/npt crd/ ftp://edc.dgfi.tum.de/pub/slr/data/npt_crd/
and from the Paris Observatory Lunar Analysis Center at http://polac.obspm.fr/llrdatae.html .
For Apache Point normal points, consult http://physics.ucsd.edu/~tmurphy/apollo/norm_pts.html for ranges and notes.

Table 1. Observations from LLR stations, March 1970 to March 2020.

| Station | Number of Ranges | Time Span |
| :--- | :---: | :--- |
| McDonald 2.7 m, Texas | 3440 | $1970-1985$ |
| MLRS, Saddle site, Texas | 275 | $1985-1988$ |
| MLRS, Mt. Fowlkes site, Texas | 2870 | $1988-2013$ |
| Crimea, USSR | 28 | $1974,1982-1984$ |
| Observatoire de la Côte d'Azur, France | 16425 | $1984-2020$ |
| Haleakala, Hawaii | 694 | $1984-1990$ |
| Apache Point, New Mexico | 2452 | $2006-2016$ |
| Matera, Italy | 248 | $2003-2020$ |
| Wettzell, Germany | 70 | $2018-2020$ |
| Total | 26502 | $1970-2020$ |

There are five target retroreflector arrays on the Moon. These flat arrays of corner cubes are located at the three Apollo 11, 14, and 15 landing sites and on the Lunokhod 1 and 2 rovers delivered by the Luna 17 and 21 landers. The Apollo 15 array at the Hadley site is the largest and it provides the strongest returned signal. Table 2 provides some statistics.

Table 2. Observations obtained from retroreflector arrays, March 1970 to March 2020.

| Lunar Site | Number of <br> Ranges | Percentage | Time Span |
| :--- | :---: | :---: | :---: |
| Apollo 11, Tranquility | 3172 | $12.0 \%$ | $1970-2020$ |
| Apollo 14, Fra Mauro | 2993 | $11.3 \%$ | $1971-2020$ |
| Apollo 15, Hadley | 17448 | $65.8 \%$ | $1971-2020$ |
| Lunokhod 1 | 1260 | $4.8 \%$ | $1974,2010-2020$ |
| Lunokhod 2, Le Monnier | 1629 | $6.1 \%$ | $1973-2020$ |
| Total | 26502 | $100 \%$ | $1970-2020$ |

Apollo 11 landed in 1969 and was ranged shortly after, but our data set eliminates the less precise 1969 ranges and starts in March 1970. The location of Lunokhod 1 was not known well enough to range until it was found in 2010 [Murphy et al., 2011]. Recently, observations during 1974 at Crimea were located [Yagudina et al., 2018].

### 3.1 LLR Model

We need to compare the observed $\Delta t=\mathrm{T} 3-\mathrm{T} 1$ to a computed value in order to fit the model parameters to observations. The model for the computed value is the subject of this section.

### 3.2 MJD and JD

The calendar date and transmit time are converted to Modified Julian Day (MJD) and Julian Day (JD), JD = MJD + 2400000.5. For example, noon on January 1, 2000 is MJD 51544.5 and JD 2451545.0 . The example is the J2000 reference time. (Note that the D in JD and MJD stands for "day", not the corruption "date.")

### 3.3 Station Location

To the Earth-fixed geocentric ranging station spherical coordinates at J2000, the LLR software adds slow linear station motion in the radial, east, and north directions for Year(T1)-2000. For T1 in years

$$
\begin{equation*}
\mathbf{R}_{\mathrm{STN}}(T 1)=\mathbf{R}_{\mathrm{STN}}(2000)+\frac{d \mathbf{R}_{\mathrm{STN}}}{d t}(T 1-2000) \tag{1}
\end{equation*}
$$

Station motion is typically a few $\mathrm{mm} / \mathrm{yr}$ vertically and a few $\mathrm{cm} / \mathrm{yr}$ horizontally. Station coordinates typically refer to the intersection of axes of the mount. For most stations, the transmitting and receiving telescopes coincide, but they were separate at the Haleakala site.

### 3.4 Air Pressure Loading and Center of Mass Motion

A local increase in air pressure depresses the Earth's surface. This is a small correction of no more than a few millimeters. The LLR software approximates loading from atmospheric pressure variation with a perturbation of the vertical coordinate of

$$
\begin{equation*}
\Delta R=\partial R / \partial P \Delta P \tag{2}
\end{equation*}
$$

where $\Delta P$ is the pressure variation about the mean. See Table 3 for the rms pressure and radial variations by station. Although this radial variation and some of the partials $\partial R / \partial P$ are approximations, the effect is only a few millimeters. The IERS Conventions (2010) discusses effects on station position in chapter 7. The effect of diurnal and semidiurnal pressure variations are in section 7.1.3. At the LLR sites, they are $<1 \mathrm{~mm}$ and we do not include them in the model.

Table 3. Mean pressure and RMS pressure variation, and the influence on the vertical coordinate.

| Station Site | Mean Pressure, <br> mbar | RMS Pressure <br> Variation, mbar | $\partial R / \partial P$ <br> $\mathrm{~mm} / \mathrm{mbar}$ | RMS Radial <br> Variation, mm |
| :--- | :---: | :---: | :---: | :---: |
| McDonald <br> 2.7 m, Texas | 798 | 4 | -0.47 | 1.8 |
| MLRS Saddle, <br> Texas | 808 | 3 | -0.47 | 1.4 |
| MLRS Mt. <br> Fowlkes, <br> Texas | 800 | 4 | -0.47 | 1.7 |
| OCA/MEO, <br> France | 875 | 7 | -0.34 | 2.2 |
| Haleakala, <br> Hawaii | 709 | 2 | -0.15 | 0.3 |
| Apache Point, <br> New Mexico | 728 | 4 | -0.48 | 1.7 |
| Matera, Italy | 962 | 4 | -0.27 | 1.1 |
| Wettzell, <br> Germany | 944 | 3 | -0.44 | 1.7 |
| Crimea, Soviet <br> Union | 949 | -0.25 | 0.9 |  |

Seasonal mass variations of atmosphere and oceans cause an annual translation of the center of figure of the Earth with respect to the center of mass (Wu et al., 2012, 2017). We apply the following correction to the center of figure coordinates of stations to get center of mass coordinates

$$
\begin{equation*}
\Delta R_{j}=-A_{j} \cos \left(\frac{2 \pi}{365.25}\left(t-J 2000-\delta t_{j}\right)\right) \tag{3}
\end{equation*}
$$

where $\Delta R_{j}$ are the corrections to the three coordantes, $A_{j}$ are the three coefficients, $\delta t_{j}$ corrects day of year to zero phase, and $t$ is MJD. We use the amplitudes and phase-related times in Wu et al. (2017). The corrections are small, a few millimeters in size.

### 3.5 UT1 and Polar Motion at T1

An input table of TAI-UT1, and polar motion $X$ and $Y$ is interpolated at the MJD value. UT1 follows the variable daily rotation and polar motion describes the axis of daily rotation (not the instantaneous spin axis) with respect to the body axes. The Earth's equator rotates with a speed of $465 \mathrm{~m} / \mathrm{sec}$, so UT1 values accurate to $\sim 2 \mu \mathrm{sec}$ are needed for 1 mm global rotation accuracy.

### 3.6 Solid-Body Pole Tide

As the Earth's axis of rotation moves with respect to its body, the oblate shape tends to follow the moving axis. The pole tide is computed for $X-X_{P}$ and $Y-Y_{P}$, where $X_{P}=0.056$ " +0.0021 "/yr (Year-2000) and $Y_{P}=0.346^{\prime \prime}+0.0037 " / \mathrm{yr}($ Year -2000$)$ in the LLR software. The IERS Conventions advocates third degree polynomials for $X_{P}$ and $Y_{P}$. The resulting pole tide distortion is added on to the station vector. The subtraction of $X_{P}$ and $Y_{P}$ is intended to separate out the annual wobble and (14 month) Chandler wobble so that appropriate Love numbers ( $h_{2}=0.6207$ and $l_{2}=0.0836$ ) can be used for that frequency band. For the coefficients of IERS Conventions equation (7.26), the LLR software uses $32.4 \mathrm{~mm} /$ " for the radial component and $9 \mathrm{~mm} /$ " for the horizontal components. The 32.4 $\mathrm{mm} /$ " coefficient is $2 \%$ smaller than the value in the Conventions, but loading at the LLR stations from the ocean's pole tide will reduce the coefficient by a few percent. The combined annual and Chandler wobbles can reach $\sim 0.3$ " maximum, so the radial variation can reach $\pm 1.0 \mathrm{~cm}$ and the horizontal variation can reach $\pm 3 \mathrm{~mm}$. What happens to the distortion due to the linear or slow polynomial parts of $X$ and $Y$ ? It depends on larger Love numbers and it becomes part of station motion. The radial rate from the linear motion could reach $0.4 \mathrm{~mm} / \mathrm{yr}$.

### 3.7 Time Transformations at T1

Although the LLR observations are measured with UTC, the JPL lunar and planetary ephemeris uses Barycentric Dynamical Time (TDB), a time appropriate for coordinates referred to the center of mass (barycenter) of the solar system. The UTC transmit time is first transformed to International Atomic Time (TAI). The latter is a continuous time scale, whereas UTC has discontinuities designed to keep UTC close to UT1, a "time" based on the rotation of the Earth. Over the decades spanned by the LLR data, those discontinuities accumulate; from mid-2012 until mid-2015 TAI=UTC+35 sec. From mid2015 to the start of 2017 , TAI-UTC is 36 sec , and subsequently up to 2020 the difference is 37 sec . Adding 32.184 s to TAI would give Terrestrial Time (TT), TT=TAI+32.184 sec . Prior to 1972 there were also discontinuous UTC rate changes that require corrections to the length of the second used for ranges before 1972.

The transformation from TT or TAI to TDB involves relativistic effects. Viewed from the solar system barycenter, terrestrial clock rates vary as the velocity and external potential at the center of the Earth change as the Earth follows its path about the Sun. We present the transformation in two parts: one depends on the position of the station with respect to the Earth's center, and the other depends only on the orbital motion of the Earth. The station, which is displaced from the center of the Earth, experiences a rotational velocity and a slightly different solar potential than the geocenter. With both effects, the clock rates are connected by

$$
\begin{equation*}
\frac{d T D B}{d T A I} \approx 1-L_{B}+\frac{\left(\mathbf{v}_{\mathbf{E}}+\mathbf{v}_{\mathbf{S}}\right)^{2}}{2 c^{2}}+\frac{\left(U+\mathbf{R}_{\mathbf{S}} \bullet \nabla U\right)}{c^{2}} \tag{4}
\end{equation*}
$$

where $U$ is the (positive) external potential from the Sun, Moon, and planets at the geocenter, $\mathbf{v}_{\mathbf{E}}$ is the velocity of the Earth's center with respect to the solar system barycenter, vs is the velocity of the station with respect to the Earth's center, and $\mathbf{R}_{\mathbf{s}}$ is
the vector from the geocenter to the station. The $\mathbf{R}_{\mathbf{S}} \bullet \nabla U$ term is an expansion of the potential for the station displaced by $\mathbf{R}_{\mathbf{s}}$ from the geocenter. The $L_{B}=1.550519768 \times 10^{-8}$ removes the mean rate from the velocity and potential terms.

The $\mathbf{v}_{\mathbf{E}} \bullet \mathbf{v s}_{\mathbf{S}} / c^{2}$ part of eq. (2) can be integrated by parts to get a daily $\mathbf{v}_{\mathbf{E}} \cdot \mathbf{R}_{\mathbf{s}} / c^{2}$ variation in time. The daily correction can reach $\pm 2.1 \mu \mathrm{sec}$. The second term from the integration by parts is small enough to ignore.

$$
\begin{equation*}
T D B \approx T A I+\frac{\mathbf{v}_{\mathbf{E}} \bullet \mathbf{R}_{\mathbf{S}}}{c^{2}}+32.184 \mathrm{sec}+\text { Orbit Terms } \tag{5}
\end{equation*}
$$

For the idealized case of a massless Earth in an elliptical orbit

$$
\begin{equation*}
T D B \approx T A I+\frac{\mathbf{v}_{\mathbf{E}} \bullet \mathbf{R}_{\mathbf{S}}}{c^{2}}+\frac{2 \mathbf{r}_{\mathbf{E}} \bullet \mathbf{v}_{\mathbf{E}}}{c^{2}}+32.184 \mathrm{sec} \tag{6}
\end{equation*}
$$

where $\mathbf{r}_{\mathbf{E}}$ is the position of the Earth's center with respect to the solar system barycenter. For perturbed orbits, the $2 \mathbf{r}_{\mathbf{E}} \bullet \mathbf{v}_{\mathbf{E}} / c^{2}$ term can be replaced with an integrated value, a series, or a function of ephemeris positions and velocities. The Earth's ephemeris is interpolated from the ephemeris file to get $\mathbf{r}_{\mathbf{E}}, \mathbf{v}_{\mathbf{E}}$, and potential. For the TAI to TDB transformation, the largest of the periodic terms, the "elliptical" term, is a 1.66 msec annual term that passes through zero phase in early January, but there are smaller effects from Jupiter (22 $\mu \mathrm{sec})$, Saturn ( $5 \mu \mathrm{sec}$ ), and the Moon ( $2 \mu \mathrm{sec}$ ) [Moyer, 1981ab; Fairhead and Bretagnon, 1990; Harada and Fukushima, 2003]. Note that in the 2.5 s of the round-trip light time, the daily term can change up to 0.4 ns and the annual term can change by 0.8 ns . These relativistic corrections alter the computed $\Delta t$ interval and are necessary for accurate LLR analyses. The LLR software uses Moyer's formulation that depends on ephemeris positions and velocities of the Earth, Moon, Sun, and planets. Though only accurate to a few $\mu \mathrm{sec}$, that accuracy is sufficient for range computation accuracies $<1 \mathrm{~mm}$. There are two requirements, one on the radial position accuracy at a time and the other on the change in TDB-TAI during the $\sim 2.5 \mathrm{~s}$ round trip. Since the Moon's radial velocity is $<100 \mathrm{~m} / \mathrm{sec}$ the first only requires $10 \mu \mathrm{~s}$ accuracy. The second requirement requires $12 \mu \mathrm{~s}$ accuracy for the amplitude of the annual term, $6 \mu \mathrm{~s}$ at $1 / 2 \mathrm{yr}$, and $1 \mu \mathrm{~s}$ accuracy at 1 month. Moyer [1981b], Fairhead and Bretagnon, [1990], and Harada and Fukushima, [2003] present trigonometric series. The latter two are extensive and very accurate series. As an alternative, eq. (4) can be integrated numerically. A very accurate numerically integrated version of TDB-TT is available with the DE440 ephemeris [Park et al., 2020].

The relativistic time corrections are iterated. Expressions (5) and (6) require the orientation of the station vector with respect to the Earth's velocity in a consistent frame, the station vector is rotated from a body-fixed frame to a space-fixed frame allowing for UT1, polar motion, precession, and nutation. Tides are too small to make a significant difference for relativity. Expression (5) is calculated with the Moyer series for the orbital terms. With iteration, the UTC value at T1 is converted to TDB.

The LLR software does not introduce the average rate differences that are part of the Geocentric Coordinate Time (TCG) and Barycentric Coordinate Time (TCB) scales (chapter 10 of the IERS Conventions). The fractional changes in these two rates are denoted $L_{G}$ and $L_{C}$, respectively, which combined give $L_{B}$. As a consequence, there are differences in the length scales that will be addressed below (§3.10). We also note that, with the notation in chapter 10 of the IERS Conventions, $T D B_{0} \approx-P_{0} \approx-P\left(T_{0}\right) \approx-65.5$ $\mu \mathrm{sec}$. The LLR software does not introduce this constant, which appears in the TT to TCB transformation, into the TAI to TDB transformation. The $T D B_{0}$ constant should be used with a numerically integrated TDB-TT value because it is the value of the series at the lower limit of the integration. It should not be used with the series.

### 3.8 Earth Orientation at T1

The station's orientation in space depends on terrestrial UT1, polar motion, precession, and nutation. The precession of the equinox and the evolution of the obliquity $\varepsilon_{A}(t)$ are computed with polynomial expressions. The IAU 2006 expressions for precession angles $\zeta_{A}, \theta_{A}$, and $z_{A}$ and obliquity $\varepsilon_{A}$ are given by Hilton et al. [2006]. The "time" tracking the angle of rotation of the Earth with respect to the precessing equinox, the Greenwich Mean Sidereal Time (GMST), is a polynomial function of UT1. An expression for GMST in seconds that is compatible with the IAU 2006 precession polynomials comes from evaluating eq. (33) in Williams [1994].

$$
\begin{gather*}
\mathrm{GMST}=24110.54841+8640184.7944792 T_{U}+0.0927722 T_{U}^{2}-2.0 \times 10^{-6} T_{U}^{4} \\
+\operatorname{Mod}(\mathrm{UT} 1,86400) \tag{7}
\end{gather*}
$$

In centuries $T_{U}=[(\mathrm{MJD}-51544.5)+(\mathrm{UT} 1-\mathrm{MJD})] / 36525$, where UT1 -MJD is expressed in days. The large coefficient of $T_{U}$ causes the number of terrestrial rotations per year to be one more than the number of solar days in a year. The nonlinear terms do not imply nonuniform rotation with respect to space for uniformly increasing UT1. Rather they reflect the nonlinear motion of the mean equinox. The cubic term is sufficiently close to zero to ignore. The UT1 in the Mod function includes fast variations.

The nutation angles are $\Delta \psi$ for (left-handed) ecliptic longitude and $\Delta \varepsilon$ for obliquity., The equation of equinoxes $\Delta \psi \cos \varepsilon_{A}(t)$ can be added on to GMST. With additional terms at the period ( 18.6 yr ) and half period ( 9.3 yr ) of the lunar node, we get Greenwich Sidereal Time (GST). With GMST and $\Delta \psi$ in seconds of arc, then

$$
\begin{equation*}
\mathrm{GST}=\mathrm{GMST}+\Delta \psi \cos \varepsilon_{A}(t)+0.00264 \sin \Omega+0.000063 \sin 2 \Omega \tag{8}
\end{equation*}
$$

The node-related corrections are a dynamical consequence of the motion of the equinox and obliquity.

UT1 and polar motion $X$ and $Y$ include small semidiurnal and diurnal tidal variations. The small rapid UT1 and polar motion corrections are added on to the interpolated values from the input table (§ 3.5). In the LLR software the fast variations arise from the M2, $\mathrm{S} 2, \mathrm{~K} 2, \mathrm{~N} 2, \mathrm{~K} 1, \mathrm{O} 1, \mathrm{P} 1, \mathrm{Q} 1$, and sidebands of the K1 and O1 tides. Table 4 lists the 10 corresponding arguments. The combined Tables 8.3a, 8.3b, and 5.1b of the IERS

Conventions give the amplitudes for UT1 and combined 8.2a, 8.2b, and 5.1a give amplitudes for polar motion. The largest examples of these corrections, $\sim 1 \mathrm{~cm}$, occur for the M2, K1, and O1 tides.

Table 4. Four semidiurnal and six diurnal tidal arguments. For the angles in the arguments: $G$ is GMST, $l$ is lunar mean anomaly, $F$ is lunar mean argument of latitude, $\Omega$ is lunar node, $D$ is mean elongation of the Moon from the Sun, mean lunar longitude is $L=F+\Omega$, and mean solar longitude is $L^{\prime}=L-D$.

| Name | Argument | Period in days |
| :--- | :--- | :--- |
| K2 | $2 G$ | 0.498635 |
| S2 | $2 G-2 L^{\prime}$ | 0.500000 |
| M2 | $2 G-2 L$ | 0.517525 |
| N2 | $2 G-2 L-l$ | 0.527431 |
| K1 sideband | $G-\Omega$ | 0.997123 |
| K1 | $G$ | 0.997270 |
| P1 | $G-2 L^{\prime}$ | 1.002745 |
| O1 | $G-2 L$ | 1.075806 |
| O1 sideband | $G-L-F$ | 1.075976 |
| Q1 | $G-2 L-l$ | 1.119515 |

To rotate the station vector from an Earth-fixed frame to a J2000 space-related frame, the sequence of rotations is

$$
\begin{equation*}
\mathbf{R}_{3}\left(\zeta_{A}-90^{\circ}\right) \mathbf{R}_{\mathbf{1}}\left(-\theta_{A}\right) \mathbf{R}_{\mathbf{3}}\left(90^{\circ}+z_{A}\right) \mathbf{R}_{\mathbf{1}}\left(-\delta_{X}\right) \mathbf{R}_{\mathbf{2}}\left(-\delta_{Y}\right) \mathbf{R}_{\mathbf{1}}\left(-\varepsilon_{A}\right) \mathbf{R}_{\mathbf{3}}(\Delta \psi) \mathbf{R}_{\mathbf{1}}\left(\varepsilon_{A}+\Delta \varepsilon\right) \mathbf{R}_{\mathbf{3}}(-\mathrm{GST}) \mathbf{P}(X, Y), \tag{9}
\end{equation*}
$$

where $\mathbf{P}$ is a matrix for polar motion $X$ and $Y, \delta_{X}$ and $\delta_{Y}$ are small rotations of the Earth's orientation, and $\mathbf{R}_{\mathbf{1}}, \mathbf{R}_{\mathbf{2}}$, and $\mathbf{R}_{\mathbf{3}}$ are rotation matrices about the first, second, and third axes. The LLR software can solve or correct for small rotations $\delta_{X}$ and $\delta_{Y}$ at the time of observation that include (equinox and obliquity related) constant rotations, (precession and obliquity related) rates, and corrections to periodic nutation terms at $18.6 \mathrm{yr}, 9.3 \mathrm{yr}, 1$ $\mathrm{yr}, 1 / 2 \mathrm{yr}$, and $1 / 2$ month. A positive rotation of a vector is equivalent to a negative rotation of the frame.

Terrestrial orientation accurate to 0.03 mas is needed for 1 mm station vector accuracies in space. The LLR software does not implement all of chapter 5 of the IERS Conventions. Also, it does not apply a nominal value for the variable free core nutation (FCN) at 430.2 days (retrograde), which is $<0.3$ mas in size.

### 3.9 Solid-Body Tides on Earth

The main tidal displacement of the station is caused by degree- 2 tides raised by the Moon and Sun. Vertical lunar tides can vary between +27 and -14 cm and solar tides span +10 to -5 cm . Horizontal tides can reach $\pm 5$ and $\pm 2 \mathrm{~cm}$. For degree- 2 elastic tides with vector $\mathbf{u}$ pointing from the center of the Earth toward the surface station and $\mathbf{u}^{\prime}$ pointing toward the tide-raising body, the station displacement is

$$
\begin{equation*}
\Delta \mathbf{R}=\frac{M^{\prime} R^{4}}{m r^{\prime 3}}\left\{\frac{h_{2}}{2}\left[3\left(\mathbf{u} \cdot \mathbf{u}^{\prime}\right)^{2}-1\right] \mathbf{u}+3 l_{2}\left(\mathbf{u} \cdot \mathbf{u}^{\prime}\right)\left[\mathbf{u}^{\prime}-\left(\mathbf{u} \cdot \mathbf{u}^{\prime}\right) \mathbf{u}\right]\right\} . \tag{10}
\end{equation*}
$$

The radius of the Earth is $R$, its mass is $m$, the mass of the tide-raising body is $M^{\prime}$, and its distance is $r^{\prime}$. Degree- 3 tides are also computed. They are much smaller than the degree- 2 tides. Our nominal degree-2 Love numbers are $h_{2}=0.6078$ and $l_{2}=0.0847$ and the degree- 3 values are $h_{3}=0.292$ and $l_{3}=0.015$. These values are compatible with the real values recommended in chapter 7 of the IERS Conventions. Moon-raised third-degree tides on the Earth are only 1.7 mm high and Sun-raised third-degree tides are very small. The evaluation of equation (10) includes a constant part, which is not modified.

There are corrections to the global degree-2 tidal calculations. They arise from two causes: the oblate core-mantle boundary, and tidal dissipation. Both causes affect inphase tides; dissipation also shifts the phase. The software applies in-phase and out-ofphase corrections to the vertical tides for the 10 tidal components of Table 4. The largest correction is 12 mm for K 1 . The K1 sideband shifts 2 mm and the P 1 tide shifts 1 mm . Extensive tables are given in chapter 7 of the Conventions. The horizontal correction is $<1 \mathrm{~mm}$ and is ignored.

For each station, 3-dimensional (up, east, north) ocean loading tides are computed for the 10 tidal arguments in Table 4. The coherent center of mass correction is included. The stations in Table 1 have extensive observations and we input tables that are based on the FES2004 tidal model. For the continental LLR sites, ocean loading amplitudes are less than 1 cm , but for the mid-ocean Haleakala site 3 amplitudes exceed 1 cm . The ocean loading website at http://holt.oso.chalmers.se/loading/ is established by M. S. Bos and H.G. Scherneck.

The LLR software departs from the IERS Conventions in several ways. The evaluation of the main tidal distortion from equation (10) uses real Love numbers; the phase-shifted components are evaluated separately. For the small global and ocean loading corrections, the software does not attempt to correct the slow zonal tides, since those corrections are predicted to be $<1 \mathrm{~mm}$. Also, we question whether the corrections can be extrapolated to 18.6 yr using an Andrade-type function; that type of extrapolation does not work for long period tides on the Moon [Williams and Boggs, 2015]. The LLR software does not implement the latitude dependence of the Love numbers, a $0.1 \%$ correction ( $<1 \mathrm{~mm}$ ) for vertical tides. The IERS Conventions document uses different phase conventions than the ocean loading website. Also, the two ocean loading formats appear to use different directions for horizontal tides. Analysts need to be careful with details. The LLR software uses cosines and sines of the arguments in Table 3. We have our own solid-body tidal expansion, which we used to confirm the global corrections and their phases. The FES2004 ocean model with output in the HARPOS format was the source for the LLR ocean loading tables, but we altered the phases to match the arguments in Table 4.

### 3.10 Relativistic Adjustments to the Station Vector

We need to transform the geocentric station vector $\mathbf{R s}_{\mathbf{s}}$ from a terrestrial frame compatible with TAI or TT to the solar system barycentric frame compatible with TDB. The Lorentz contraction of $\mathbf{R}_{\mathbf{S}}$ is

$$
\begin{equation*}
-\frac{\mathbf{v}_{\mathbf{E}} \bullet \mathbf{R}_{\mathbf{S}}}{2 c^{2}} \mathbf{v}_{\mathbf{E}} \tag{11}
\end{equation*}
$$

The Earth's speed is $\sim 30 \mathrm{~km} / \mathrm{sec}$ so the Lorentz contraction of the Earth is $\sim 3.2 \mathrm{~cm}$ in the velocity and anti-velocity directions.

In addition, there is a relativistic adjustment to the scale of $\mathbf{R}_{\mathbf{s}}$

$$
\begin{equation*}
\left(1-L_{C}-\frac{U_{E}}{c^{2}}\right) \mathbf{R}_{\mathrm{s}} \tag{12}
\end{equation*}
$$

where the scale parameter is $L_{C}=1.480827 \times 10^{-8}$ and $U_{E}$ is the geocentric solar potential $G M_{S} / r_{S E}$ that depends on solar mass $M_{S}$ and distance $r_{S E}$. The scale change is 16 cm and there is $\mathrm{a} \pm 1 \mathrm{~mm}$ annual variation due to the eccentricity of the solar orbit. The effect on scale from the potential due to the Moon and planets is very small and is ignored. IERS Conventions section 11.2 deals with these spatial adjustments.

### 3.11 Up-Leg Light Time Iteration for T2, Position, and Orientation of the Moon

The T1 time is established in UTC and TDB, so the next step is to compute T2, the time of reflection. Because the Moon is moving $\sim 1 \mathrm{~km} / \mathrm{sec}$ with respect to the Earth and the Earth is rotating $465 \mathrm{~m} / \mathrm{sec}$ at the equator, the T 2 computation requires iteration. As a first approximation to T2, to start the iteration half of the observed light time is added on to T1. The position of the Moon with respect to the Earth and solar system barycenter is obtained from a numerically integrated ephemeris (e. g., DE440 [Park et al., 2020] ]). At the T 2 time, the orientation of the Moon (physical libration Euler angles) is obtained from the ephemeris file, the rotation matrix between the lunar body and space frames is set up, and the Moon centered retroreflector vector is rotated into the space-fixed frame.

Unlike the Earth, it is not necessary to transform the T2 time to a Moon centered or surface related frame. The latter would be necessary if one-way ranges relied on a clock on the lunar surface.

### 3.12 Tidal Displacement on the Moon

Earth caused vertical degree- 2 tides on the Moon vary by roughly $\pm 0.1 \mathrm{~m}$, see Figure 4. Horizontal tides vary by about half of that amount, see Figure 5. Equation (10) is evaluated with the radius of the Moon for $R$ and the lunar mass for $m$. Time-varying tides caused by the Sun are $\sim 2 \mathrm{~mm}$ in height. Degree- 3 tides are $<1 \mathrm{~mm}$, but are calculated. Appropriate model Love numbers are $h_{2}=0.0423$ and $l_{2}=0.0107, h_{3}=0.0234$, and $l_{3}=0.0030$ [Willliams et al., 2014b; Williams and Boggs, 2015]. The degree-2 Love numbers can be either input or solution parameters.


Figure 4. Vertical elastic tides at the five retroreflector locations for interval 2000-2006.


Figure 5. Horizontal tides at the Lunokhod sites for the interval 2000-2006.
The effect of tidal dissipation is added for the two largest tides with periods of 27.555 d for argument $l$ and 27.212 d for $F$. Small cosine and sine corrections are added from an internal table for the 5 retroreflector sites (Appendix A1). The dissipation factors $h_{2} / Q_{h}$ for vertical and $l_{2} / Q_{l}$ for horizontal may be either input or solution parameters. The size of the dissipation correction is $\sim 2 \mathrm{~mm}$ or less.

Appendix A2 has the constant tidal components (X, Y, Z, Up, East, North) for the 5 retroreflector sites. The elastic values are given for the DE440 displacement Love numbers. The table results from phase shifting the lunar tidal variations in Williams and Boggs [2015].

There is a pole tide on the Moon due to changes in the direction of the spin vector; see Appendix C of Williams and Boggs [2015] for the potential and rotation rate terms. The size is calculated to be $<0.2 \mathrm{~mm}$. Consequently, it is not included in the model for lunar displacement.

### 3.13 Thermal Expansion of Reflectors

The retroreflectors are subject to large monthly variations of temperature. At the equator, the lunar surface can reach $\sim 386^{\circ} \mathrm{K}$ during the day (albedo 0.08 ) and $\sim 100^{\circ} \mathrm{K}$ at night. Although the corner cubes have low thermal expansion coefficients, the Lunokhod rovers and the aluminum structures of Apollo reflectors expand and contract by one (Apollos) to several (Lunokhods) millimeters during the 29.5 d synodic month. Accurate thermal models are complicated. This section presents simplified models that depend on heating by the Sun and heating from the lunar surface.

The simplest model has the retroreflector temperatures $T$ proportional to the lunar surface temperature. Using a constant temperature at night, the day-night temperature difference is proportional to

$$
G(T)= \begin{cases}1.36\left(\frac{\cos z}{\cos \phi}\right)^{\frac{1}{4}}-0.36 & \text { if } \cos z>0.0049 \cos \phi  \tag{13}\\ 0 & \text { if } \cos z \leq 0.0049 \cos \phi\end{cases}
$$

where $z$ is the zenith angle of the Sun and $\phi$ is the latitude of the lunar site. The vertical displacement is proportional to $X_{T} G(T)$, where $X_{T}$ is the vertical variation. The Lunokhod arrays are offset forward and to the right of the rover center so there is also a horizontal displacement proportional to $G(T)$.

Equation (13) would be good if the Apollo retroreflectors were horizontal. A more complicated model allows for the tilt of the Apollo retroreflectors. For the Apollo arrays oriented perpendicular to the mean Earth direction, there is primary heating by the Sun and secondary heating from the lunar surface.

$$
G(T)=\left\{\begin{array}{cc}
1.15(\cos \eta+2.30 f \cos z)^{\frac{1}{4}}-0.38 f^{\frac{1}{4}} \cos ^{\frac{1}{4}} \phi & \text { if } \cos \eta>0, \cos z>0  \tag{14}\\
1.42 f^{\frac{1}{4}} \cos ^{\frac{1}{4}} z-0.38 f^{\frac{1}{4}} \cos ^{\frac{1}{4}} \phi & \text { if } \cos \eta \leq 0, \cos z>0.0049 \cos \phi \\
0 & \text { if } \cos z \leq 0
\end{array}\right.
$$

Angle $\eta$ is between the solar direction and the normal to the array face. The Apollo arrays are insulated on bottom and sides; $f$ gives the fraction of the lunar surface seen by
the top face of the reflector. For the numerical factor $X_{T}$ of $G(T)$ to be the maximum vertical displacement, the numerical coefficients are similar for the 3 Apollo reflectors, and eq. (14) is compatible with the 3 maximum displacements to $\sim 4 \%$. The fraction $f$ that depends on the nominal array tilt is given in Table 5 .

Allowing for the nearly vertical sides of the Lunokhod rovers, there is heating from the lunar surface and from direct sunlight.

$$
G(T)= \begin{cases}1.31\left[\frac{(\cos z+0.1 \sin z)}{\cos \phi}\right]^{1 / 4}-0.33 & \text { if } \cos z>0  \tag{15}\\ 0 & \text { if } \cos z \leq 0\end{cases}
$$

We had to guess the thermal properties of the Lunokhod rovers so the 0.1 factor of $\sin z$ for the sides is approximate. Multiply $G(T)$ by the maximum vertical displacement $X_{T}$. Note that the two Lunokhod rovers are similar, but not identical. Lunokhod 1 has the lid closed whereas Lunokhod 2 has the lid open. Also, the tub dimensions are somewhat different. For the ratio of horizontal to vertical displacements see Table 5. For example, a 5 mm vertical expansion would be accompanied by a 6 mm expansion forward and a 2 mm expansion to the right side. The rovers are supposed to be oriented toward the azimuth of the mean Earth direction.

Table 5. Ratio of offsets of arrays from the spacecraft center at ground to height and also fraction $f$ seen of lunar surface and tilt to mean Earth direction.

| Reflector | Forward | Left | Up | $f$ | Tilt |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Ap 11 | 0 | 0 | 1.0 | 0.13 | $23.5^{\circ}$ |  |
| Ap 14 | 0 | 0 | 1.0 | 0.10 | $17.9^{\circ}$ |  |
| Ap 15 | 0 | 0 | 1.0 | 0.14 | $26.4^{\circ}$ |  |
| Lk 1 | 1.25 | -0.4 | 1.0 | 1.0 | $50.0^{\circ}$ |  |
| Lk 2 | 1.25 | -0.4 | 1.0 | 1.0 | $39.5^{\circ}$ |  |

Figure 6 shows the temperature function $G(T)$ for several reflectors. The black line is eq. (13) that mimics the lunar surface temperature. Equation (14) is evaluated for Apollos 11 and 14. Sunrise at Apollo 11 and sunset at Apollo 14 illuminate the rear of the reflectors, which are insulated. Heating for that part of the curves comes from the lunar surface. Apollo 15 will be close to the black line. The two Lunokhods have similar curves from eq. (15) represented with red.

The Apollo reflectors are low, a few decimeters, and are expected to vary by $1-1.5 \mathrm{~mm}$. The Lunokhod arrays are 1 m high and might vary by 5 mm vertically. The software can solve for the vertical expansion coefficients $X_{T}$ for the 5 reflectors. We tried the simple thermal expansion model of eq. (13) and the two component models of eqs. (14) and (15). The improvement in the rms residual was slight for both approaches. Thermal expansion correlates with the equivalence principle test.


Figure 6. Temperature functions $G(T)$. The black curve mimics the lunar surface temperature. The colored curves are for individual retroreflectors.

### 3.14 Lorentz Contraction and Relativistic Scale at the Moon

We need to transform the Moon centered reflector vector $\mathbf{R}_{\mathbf{R}}$ from a lunar frame to the solar system barycentric (SSB) frame compatible with TDB. Analogous to section 3.10, the Lorentz contraction is

$$
\begin{equation*}
-\frac{\mathbf{v}_{\mathbf{M}} \bullet \mathbf{R}_{\mathbf{R}}}{2 c^{2}} \mathbf{v}_{\mathbf{M}} . \tag{16}
\end{equation*}
$$

The Lorentz contraction of the Moon's radius is $\sim 9 \mathrm{~mm}$ in the velocity $\left(\mathbf{v}_{\mathbf{M}}\right)$ and antivelocity directions.

Analogous to the Earth, the Moon centered reflector vector is scaled by

$$
\begin{equation*}
\left(1-L_{M}-\frac{U_{M}}{c^{2}}\right) \mathbf{R}_{\mathbf{R}}, \tag{17}
\end{equation*}
$$

where the scale parameter is $L_{M}=1.4825 \times 10^{-8}$ and $U_{M}$ is the solar potential at the Moon $G M_{S} / r_{S M}$. The scale change is about 4 cm and the annual variation is only $\pm 0.3 \mathrm{~mm}$. Though small, the annual variation for Earth and Moon radii combine to cause $\pm 1.3 \mathrm{~mm}$ of range signal. The effect on scale from the potential due to the Earth and other planets is small and is ignored.

### 3.15 Up-Leg Range Vector

The vector between the terrestrial station at T1 and the lunar retroreflector at T2 is

$$
\begin{equation*}
\boldsymbol{\rho}_{12}=\mathbf{r}_{\mathbf{M}}(T 2)+\mathbf{R}_{\mathbf{R}}(T 2)-\mathbf{r}_{\mathbf{E}}(T 1)-\mathbf{R}_{\mathbf{s}}(T 1), \tag{18}
\end{equation*}
$$

where $\mathbf{r}_{12}=\mathbf{r}_{\mathrm{M}}(T 2)-\mathbf{r}_{\mathrm{E}}(T 1)$ is the difference in the solar system barycentric coordinates of the centers of the Earth and Moon. The station vector $\mathbf{R}_{\mathbf{S}}$ and reflector vector $\mathbf{R}_{\mathbf{R}}$ include tidal and relativistic corrections.

### 3.16 Atmospheric Delay

To get the sine of the elevation angle, the unit vector $\rho_{12} / \rho_{12}$ is dotted with the ranging station's zenith unit vector normal to the Earth's flattened ellipsoid. The atmospheric delay model follows chapter 9 of the IERS Conventions, except that a constant $a_{3}$ value is used. The LLR elevation angles are normally above $20^{\circ}$, whereas $a_{3}$ mainly affects lower elevations. Ranges from the McDonald 2.7 m (1970-1985) and early OCA (1984-1986) sites used red ruby lasers ( $0.694 \mu \mathrm{~m}$ ), but subsequent ranges use green beams ( $0.532 \mu \mathrm{~m}$ ) from frequency-doubled Nd:YAG lasers. Starting in March 2015, many infrared ranges $(1.064 \mu \mathrm{~m})$ have been obtained at OCA. The model in the IERS Conventions includes a dependence on color. At the zenith and on the geoid, one atmosphere of air delays the range by 2.4 m , so the delay can be $\sim 7 \mathrm{~m}$ at $20^{\circ}$ elevation. These delays are scaled by the atmospheric pressure at the station with corrections for temperature and humidity.

Table 6. Mean pressure and temperature and their rms scatter for the LLR sites with extensive data sets. Pressures and temperatures were measured during ranging sessions.

| Station Site | Mean Pressure, <br> mbar | RMS Pressure <br> Variation, mbar | Mean <br> Temperature, <br> ${ }^{\circ} \mathrm{C}$ | RMS <br> Temperature <br> Variation, ${ }^{\circ} \mathrm{C}$ |
| :---: | :---: | :---: | :---: | :---: |
| McDonald <br> 2.7 m, Texas | 798 | 4 | 14 | 6 |
| MLRS Saddle, <br> Texas | 808 | 3 | 11 | 7 |
| MLRS Mt. <br> Fowlkes, <br> Texas | 800 | 4 | 14 | 6 |
| OCA/MEO, <br> France | 875 | 7 | 9 | 6 |
| Haleakala, <br> Hawaii | 709 | 2 | 6 | 3 |
| Apache Point, <br> New Mexico | 728 | 4 | 8 | 6 |
| Matera, Italy | 962 | 444 | 13 | 12 |
| Wettzell, <br> Germany | 4 | 7 |  |  |

Table 6 gives the average pressures at LLR ranging stations with long spans of data. Since the LLR observatories are usually located on mountains, their mean air pressure values are less than sea level, which reduces the atmospheric delay. Nonetheless, an accurate atmospheric delay model is critical. Clear weather correlates with higher pressure biasing the mean pressure.

The earliest span of McDonald data appears to have pressures that are biased low. Prior to February 13, 1971 (MJD 40995) we add 7 mbar to the McDonald pressures. Another oddity, the mean pressure difference between the McDonald 2.7 m site and the Mt . Fowlkes site does not match the height difference.

### 3.17 Shapiro Delay

Light has a longer path when passing through a gravity field. From a Newtonian perspective, light appears to be slowed. The Shapiro delay [Shapiro, 1964] is computed with a logarithmic form.

$$
\begin{equation*}
\delta t_{j}=\frac{\left(1+\gamma_{P P N}\right) G M_{j}}{c^{3}} \ln \left(\frac{r_{S j}+r_{R j}+\rho_{12}}{r_{S j}+r_{R j}-\rho_{12}}\right) \tag{19}
\end{equation*}
$$

The gravitating body $j$ has mass $M_{j}$ at distances $r_{S j}$ and $r_{R j}$ from the Earth station and Moon retroreflector, respectively. Scalar $\rho_{12}$ comes from equation (18). The Shapiro time delay is proportional to $\left(1+\gamma_{P P N}\right)$, and the Parameterized Post-Newtonian $\gamma_{P P N}$ that characterizes the curvature of space is 1 for general relativity. The average solar potential at a 1 au distance is $\langle U\rangle / c^{2}=0.99 \times 10^{-8}$. The Sun caused mean delay for LLR is $\sim 50$ nsec, equivalent to $\sim 7.5 \mathrm{~m}$. The annual variation is $\pm 12 \mathrm{~cm}$. The delay due to the Earth's gravity is $\sim 4 \mathrm{~cm}$, but it varies with elevation angle. The delay from the Moon is $<1 \mathrm{~mm}$. The delay from Jupiter is $\sim 1.4 \mathrm{~mm}$, but its variation is $<1 \mathrm{~mm}$. The LLR software computes the delay from the gravity fields of Sun and Earth, but not the Moon, Jupiter, and other distant planets.

Most of the solar time delay acts like a scale change in the distance, which is not unique, but the $\pm 12 \mathrm{~cm}$ annual variation confers some sensitivity to the Parameterized PostNewtonian $\gamma_{P P N}$.

### 3.18 Corner Cube Delay

The five lunar retroreflectors consist of arrays of solid corner cube prisms. The Apollo corner cubes have a front face diameter of 3.8 cm . The Lunokhod prisms are larger with a triangular front face. There are three reflections in the corner cubes, but it is sufficient to consider the path length from the front face to the vertex. The path length in the fused silica prisms depends on the distance $h$ from the front face to the rear vertex. Delayed by an index of refraction $n$, the apparent distance from front to bounce is $n h$ so that the T2 bounce point acts like it is $(n-1) h$ behind the vertex. We correct the bounce point to the front face by adding

$$
\begin{equation*}
\delta t_{C C}=n h / c \tag{20}
\end{equation*}
$$

to the computed incoming $\mathrm{T} 2-\mathrm{T} 1$ and outgoing T3-T2 light times. Our derived retroreflector coordinates are at the center of the array at the front face.

The Apollo corner cubes have $h=27.5 \mathrm{~mm}$ and the Lunokhod prisms have $h=43.5 \mathrm{~mm}$. With an index of refraction of 1.4555 (Heraeus) the $(n-1) h$ values are 12.5 mm and 19.8 mm , respectively, and $n h$ values are 40.0 and 63.3 mm , respectively. The one-way delays are then 133 ps and 211 ps . The delays are slightly longer for off-axis rays and the refractive index depends on wavelength, but for our conditions these effects amount to less than 1 mm .

### 3.19 Biases

We sometimes see a range bias for a station for a certain interval of time. Sometimes the cause can be identified. In the case of Haleakala, there were different combinations of four rings of lenses used for ranging and calibration. Initially, each ring had a separate time delay, but later they were matched up. We have separate biases for those different combinations of rings. In 2015, OCA began to obtain frequent ranges using infrared, while also obtaining ranges in the green. Consequently, we added bias parameters for differences between green and infrared ranges.

The LLR software can apply or solve for biases. A bias $\delta t_{b}$ is added on to the computed value of time delay. We also have biases covering the total data span for each station. The path length inside the telescope to the intersection of axes can be difficult to measure accurately, which can cause small mean range differences between stations.

### 3.20 Converged T2

Shapiro delay, atmospheric delay, corner cube delay, and any bias are added on to $\rho_{12} / c=\left|\boldsymbol{\rho}_{12}\right| / c$ from equation (18) to get $\mathrm{T} 2-\mathrm{T} 1$, the up-leg light time, in TDB time units.

$$
\begin{equation*}
T 2(T D B)-T 1(T D B)=\frac{\rho_{12}}{c}+\delta t_{A T M}+\sum_{j} \delta t_{j}+\delta t_{C C}+\delta t_{B} \tag{21}
\end{equation*}
$$

The computation for $\mathrm{T} 2-\mathrm{T} 1$ is iterated until the change is $<0.864 \mathrm{psec}$.
With the T 2 time established, the solution parameters associated with the Moon require that partial derivatives of the range with respect to those parameters be computed. Examples of geometric parameters include retroreflector array $X, Y, Z$ coordinates and rates, displacement Love numbers $h_{2}$ and $l_{2}$, dissipation related parameters $h_{2} / Q_{h}$ and $l_{2} / Q_{l}$ associated with the Love numbers (Appendix A.1), and range scale and scale rate.
Geometric partials are solely generated in LPRED. Dynamical parameters are associated with the lunar orbit and orientation: the orbit and physical libration initial conditions, $G M$ (Earth+Moon), tidal potential Love number $k_{2}$ and a tidal time lag associated with dissipation, moment of inertia combinations $\beta=(C-A) / B$ and $\gamma=(B-A) / C$, second- and third-degree gravity harmonics, and core-mantle boundary dissipation and flattening. The dynamical partials require that partials of the lunar position and Euler angles be supplied
from files. LPRED converts those partials of position and orientation into partials of range using equation (18) and the chain rule.

### 3.21 Down-Leg Light Time

The down-leg light-time iteration starts with an initial estimate of T3(TDB)-T2(TDB) based on observed [T3(UTC)-T1(UTC)] minus computed [T2(TDB)-T1(TDB)]. The Earth-fixed station vector at T3 includes the slow station motion and pole tide from the T1 calculation. The Earth and station vector are oriented in space with updated values at T3 for GMST(UT1), precession, and nutation. However, the small semidiurnal and diurnal corrections to UT1 and polar motion at T1 are used in the orientation.

The small tidal corrections at T 1 are added on to the station vector at T 3 . The main solidbody tides at the station are computed and added on to the geocentric station vector. The Lorentz contraction and relativistic scale are applied. The atmospheric and Shapiro delays are computed and applied. After iteration, the analog of equation (21) gives $\Delta t_{23}=\mathrm{T} 3(\mathrm{TDB})-\mathrm{T} 2(\mathrm{TDB})$.

### 3.22 Total Light Time

The total computed light time $[\mathrm{T} 2(\mathrm{TDB})-\mathrm{T} 1(\mathrm{TDB})]+[\mathrm{T} 3(\mathrm{TDB})-\mathrm{T} 2(\mathrm{TDB})]$ is combined with the difference in the relativistic time transformations at the transmit and receive times $[\mathrm{T} 1(\mathrm{TDB})-\mathrm{T} 1(\mathrm{TAI})]-[\mathrm{T} 3(\mathrm{TDB})-\mathrm{T} 3(\mathrm{TAI})]$ from eq. (5). This gives the total light time T3(TAI)-T1(TAI), which is compared with the observed value of T3(UTC)-T1(UTC) to get the observed minus computed (O-C) residual.

Many partial derivatives of range with respect to solution parameters are computed. The partial derivatives at $\mathrm{T} 1, \mathrm{~T} 2$, and T 3 are combined to get the partials for the total light time.

This completes the overview of the LPRED model for range. We continue with a list of solution parameters and possible model changes.

## 4. Solution Parameters

The range model is the focus of this memo, but many of the values of model parameters can be modified with solution parameters. Nominal values of most solution parameters can be input and all solution parameters $\Delta x$ can be constrained with linear combinations of the form $a \Delta x=c$ or $a \Delta x_{1}+b \Delta x_{2}=c$. The following are important solution parameters that may be used with a standard LLR weighted least-squares solution.

- Station coordinates: radius, east longitude, and latitude.
- Station motion: rates up (radial), east, and north.
- Retroreflector coordinates: lunar $X, Y, Z$ using a principal axis frame.
- Terrestrial Love numbers $h_{2}$ and $l_{2}$.
- Smoothed stochastic corrections for UT1 and polar motion. Corrections depend on the uncertainty in the input table values.
- Earth orientation: 2 constant angles (at J2000) and 2 rates (related to precession and obliquity rates). These angles are small rotations about two axes, one pointing toward the precessing equinox and one $90^{\circ}$ ahead on the equator.
- Earth orienting nutations: cosine and sine coefficients for 2 axes for each of 4 nutation periods: $18.6 \mathrm{yr}, 9.3 \mathrm{yr}, 1 \mathrm{yr}$, and $1 / 2$ year. The same two orientation axes are used as for the preceding orientation.
- Lunar Love numbers $h_{2}$ and $l_{2}$ and dissipation related $h_{2} / Q_{h}$ and $l_{2} / Q_{l}$.
- Biases for specified stations and time spans. Overall biases between stations are typically a few cm . Bias rates can be solved for, but are not common. Infrared biases can be separate from visual wavelength biases.
- GM of Earth+Moon.
- Six set III parameters that specify 6 corrections to the initial condition for the lunar orbit integration. The set III parameter corrections are increments that have zero nominal values.
- Five terrestrial tidal dissipation time delays (phase shifts): 1 for zonal tides, 2 for diurnal tides, and 2 for semidiurnal tides [Williams and Boggs, 2016].
- Six initial conditions for the integration of the orientation of the Moon's crust and mantle. Three are Euler angles and 3 are angular rates about the X, Y, and Z principal axes.
- Three angular rates about the lunar $\mathrm{X}, \mathrm{Y}$, and Z axes for the fluid core initial conditions.
- Lunar Love number $k_{2}$.
- Time delay $\tau_{M}$ associated with $k_{2}$ and tidal dissipation. The lunar tide is evaluated at time $t-\tau_{M}$ for the dynamical model.
- An energy dissipation parameter for relative motion at the lunar core-mantle boundary [Williams et al., 2001].
- A flattening parameter for the lunar core-mantle boundary.
- Lunar moment of inertia expressions $\beta=(C-A) / B$ and $\gamma=(B-A) / C$ plus $J_{2}$ and 7 third-degree gravity harmonic coefficients. $A, B$, and $C$ are the principal moments of inertia about the three principal axes, $A<B<C$.
- Cosine and sine coefficients for physical libration parameters associated with dissipation at $206 \mathrm{~d}=7$ months, $1 \mathrm{yr}, 1095 \mathrm{~d}=3 \mathrm{yr}$, and $2190 \mathrm{~d}=6 \mathrm{yr}$ (and sometimes 1 month) [Williams and Boggs, 2015]. Additional cosine and sine coefficients are available at 1306 d and 1643 d . The latter selections are empirical, but based on known periods [Rambaux and Williams, 2011].
- Lunar orbit extra eccentricity rate.
- Vertical thermal expansion of Apollo and Lunokhod structures.

When joint solutions are made to produce a lunar and planetary ephemeris [Williams et al., 2013; Folkner et al., 2014], additional solution parameters are needed.

- The mass ratio, Earth/Moon.
- Set III corrections to the initial conditions of the planets from Mercury to Jupiter.
- $\operatorname{Solar} J_{2}$.

Solutions for gravitational physics parameters [Williams et al., 2004, 2009] may include:

- PPN $\beta$ and $\gamma$.
- Equivalence principle gravitational/inertial mass ratio for Earth.
- $\operatorname{Cos} D$ and $\sin D$ coefficients in range.
- Rate of change of the gravitational constant $G$, as $(\mathrm{d} G / \mathrm{d} t) / G$.
- Rate of change of solar mass, $\left(\mathrm{d} M_{S} / \mathrm{d} t\right) / M_{S}$, from J2000.
- A factor to test geodetic precession rate.
- Range scale factor and rate. The scale rate was used to determine a limit for any rate of change of the speed of light [Williams et al., 2014a].

Other programs solve the least-squares equations and display post-fit residuals.

## 5. Toward an Improved Model

For the LLR normal points, there is a spread of range accuracies that depends on the laser pulse width, the timing accuracy for a single detected photon, and the number of photons in each normal point. During the first 25 yr shown in Figure 1, the data noise was greater than the modeling error. During the last one or two decades, there have been ranges that are more accurate than our fits. Can future modeling improvements reduce the residuals further?

The LLR model for terrestrial orientation from nutation and terms associated with the equation of equinox could be modernized. We note that the Earth's $J_{2}$ rate is changing [Cheng et al., 2013]. A solution parameter that alters the acceleration of precession may be useful.

The atmospheric delay is meters in size. Very good accuracy is required for the atmospheric model. As the IERS Conventions notes, horizontal pressure gradients are a possible source of systematic error during off-zenith ranging sessions. The relevant horizontal scale for pressure gradients is set by the scale height, $\sim 8.5 \mathrm{~km}$, times the cotangent of elevation. Pressure gradients are not presently provided with the data.

Does the pressure dependence of the atmospheric delay need a separate factor for each station? We note that the differences in the mean pressure at the three McDonald sites do not match their height differences.

Is there significant thermal expansion and contraction of a telescope and its mount or is there a thermal influence on the delay calibration? The rms temperature variations in Table 4 are modest and the thermal effect on few-meter sized structures appears small.

Fits over $<10 \mathrm{yr}$ of data have less rms scatter than the same spans with fits over the full 50 yr span. Range residuals show small physical libration signatures. Spectra of residuals indicate that much of the libration noise is long period ( $\geq 2 \mathrm{yr}$ ). Some long-period adjustment of physical librations should tighten the rms scatter.

The terrestrial model may have several millimeters of rms noise that might be improved. The lunar model has systematic effects in orientation that are not well understood. Possible improvements to the dynamical model are not part of this memo.

## 6. Summary

This overview of the data analysis program LPRED describes the LLR data in section 2 and the model for terrestrial, orbital, and lunar effects that affect the range is in section 3 . Common solution parameters are listed in section 4 and modeling concerns are discussed in section 5 .

## Appendices

## A1. Monthly Tidal Variations on Moon

The physical librations are sensitive to multiple periods and the main (elastic-like) tidal displacements reach 0.1 m (Fig. 4), but there are also small phase shifts in the displacements due to tidal dissipation. The largest of these phase-shifted monthly tidal displacements on the Moon occur at the anomalistic ( $l$ ) month ( 27.555 d ) and nodical ( $F$ ) month ( 27.212 d ). The cosine and sine coefficients are given in Table A.1. DE440 used model values of $h_{2} / Q_{h}=1.0 \times 10^{-3}$ and $l_{2} / Q_{l}=3.0 \times 10^{-5}$. These should be considered as approximate and measurements would be valuable. With the model $h_{2} / Q_{h}$, the largest vertical displacements are 2.2 mm for Apollo 11 and 14 . With the model $l_{2} / Q_{l}$, the largest horizontal displacements are a few tenths of a millimeter.

Table A.1. The largest monthly tidal displacements. Multiply argument $l$ terms by $h_{2} / Q_{h}$ and $F$ terms by $l_{2} / Q_{l}$.

| Reflector | Arg | Up <br> cos <br> m | Up <br> sin <br> m | East <br> cos <br> m | East <br> sin <br> m | North <br> sos <br> m | North <br> sin <br> m |
| :--- | :---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Apollo 11 | $l$ | -1.540 | 1.618 | -2.924 | -2.282 | 0.037 | -0.064 |
| Apollo 11 | $F$ | 0.050 | 0.000 | -0.022 | 0.000 | 4.158 | -0.010 |
| Apollo 14 | $l$ | 1.229 | 1.800 | -3.464 | 1.834 | 0.156 | 0.361 |
| Apollo 14 | $F$ | -0.273 | 0.000 | -0.086 | 0.002 | 4.289 | 0.008 |
| Apollo 15 | $l$ | -0.204 | 1.484 | -3.790 | -0.326 | 0.206 | -2.494 |
| Apollo 15 | $F$ | 1.790 | -0.001 | -0.126 | -0.011 | 2.767 | -0.001 |
| Lunokhod 1 | $l$ | 1.232 | 0.240 | -1.119 | 2.338 | -1.962 | -2.048 |
| Lunokhod 1 | $F$ | 1.806 | 0.000 | 1.614 | -0.013 | 0.856 | 0.003 |
| Lunokhod 2 | $l$ | -1.511 | 0.843 | -1.828 | -2.491 | 1.456 | -1.841 |
| Lunokhod 2 | $F$ | 1.527 | 0.000 | -1.015 | -0.010 | 2.411 | -0.008 |

## A2. Constant Tidal Displacements on Moon

Table A. 2 gives the constant tides from Earth and Sun, but not spin or $3{ }^{\text {rd }}$ degree tides, for the DE440 Love numbers $h_{2}=0.0423$ and $l_{2}=0.0107$.

Table A.2. Constant tides from Earth and Sun for the DE440 Love numbers $h_{2}=0.0423$ and $l_{2}=0.0107$.

| Reflector | X <br> mm | Y <br> mm | Z <br> mm | Up <br> mm | East <br> mm | North <br> mm |
| :--- | :---: | ---: | ---: | ---: | ---: | ---: |
| Apollo 11 | 441.2 | 27.0 | 0.8 | 415.5 | -150.8 | -4.2 |
| Apollo 14 | 482.6 | -28.2 | -5.9 | 468.2 | 118.2 | 23.8 |
| Apollo 15 | 416.6 | 2.9 | 22.5 | 383.3 | -23.3 | -163.2 |
| Lunokhod 1 | 197.5 | 47.5 | -66.0 | 64.5 | 152.3 | -135.2 |
| Lunokhod 2 | 294.8 | -14.4 | -14.0 | 214.9 | -163.8 | -119.7 |

## Abbreviations

BCRS Barycentric Celestial Reference System, formerly BRS. Compatible with TCB.
FCN Free Core Nutation. An $\sim 14$ month variation due to the fluid core.
GCRS Geocentric Celestial Reference System, formerly GRS. Compatible with TCG.
GMST Greenwich Mean Sidereal Time. The rotation of the Earth with the prime meridian referred to the slowly precessing equinox.
GST Greenwich Sidereal Time. The rotation of the Earth with the prime meridian referred to the precessing and nutating equinox.
IAU International Astronomical Union.
ICRF International Celestial Reference Frame.
IERS International Earth Rotation Service.
ILRS International Laser Ranging Service.
ITRF International Terrestrial Reference Frame.
ITRS International Terrestrial Reference System.
JD Julian day.
LLR Lunar Laser Ranging.
MJD Modified Julian day, JD-2400000.5.
PPN Parameterized Post-Newtonian. A gravitational formulation more general than General Relativity.
SLR Satellite Laser Ranging.
TAI International Atomic Time. A continuous time scale for the Earth's surface.
TCB Barycentric Coordinate Time. A solar system barycentric coordinate time.
TCG Geocentric Coordinate Time.
TDB Barycentric Dynamical Time. A solar system coordinate time used for JPL lunar and planetary ephemerides.
TT Terrestrial Time. TT $=$ TAI +32.184 sec .
UTC Universal Time Coordinated. This time, used for recording observations, experiences occasional leap seconds with respect to TAI.
UT1 Universal Time. This representation uses the Earth's rotation as a clock. Due to variations in rotation rate, it is not a uniform time scale.

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